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SOCIO-EMOTIONAL LEARNING THROUGH FAUSTLOS IN GERMANY

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Abstract. FAUSTLOS is a violence prevention program that aims at socio-emotional skills and competencies as early as in Kindergarten children four to six years of age (FAUSTLOS meaning Without Fists; an adaptation of SECOND STEP). Self-regulation of negative emotions, impulse control, and empathy are the goals to be achieved through 28 lessons conducted by well-trained Kindergarten staff. The program has been developed at the University of Heidelberg and has continually been modified for elementary school and middle school. A rigorous evaluation of the Kindergarten curriculum between 2001 and 2004 proved the program to be effective, followed by further evaluation of the program in secondary education from 2005 to 2007. Since it has been well-accepted by state ministries and practitioners, FAUSTLOS has been implemented at many institutions of first and secondary education in Germany. Especially self-regulation has been proven to be difficult in traumatized and insecurely attached children. FAUSTLOS offers a wide variety of techniques and strategies for pre-schoolers in order to learn how to cope with inner impulses. Also, the program is conducted by constant relational persons in a closed group cycle of one year. This gives children a secure realm of learning and transfer. No-one is excluded from the group; stigmatization is avoided. Instead, children learn from one another how to apply FAUSTLOS in everyday surroundings. Parents are involved, too. By way of continuous parental meetings, parents are grown accustomed to a healthier way of dealing with one another. Ideally, a new culture of intra- and interpersonal conflict solutions is developed with the parents. Accompanying courses and additional literature are offered, too. The overall response ranging from well-received to enthusiastic due to its very special relational approach prove the program to be convincing as to early childhood learning and, with that, to the prevention of bullying and violence in general.

Keywords: Early Childhood, Socio-Emotional Learning, Empathy, Impulse Control, Coping

INTRODUCTION

Children with aggressive and violent behavior inflict injuries on others, either physically, psychically, or both. They may express threats, or destroy objects. Aggressive and violent behavior, as social scientists like Hurrelmann (Hurrelmann, 1992) or Heitmeyer (Heitmeyer, 1994; Moeller-Leimkuehler & Bogerts, 2013) have shown, is mainly to be understood as a "social disease", generated by intrapsychic, interpersonal and societal conflicts. It shows in contexts such as family, kindergarten, and school. Yet, the location of conflicts is not necessarily identical with the location of expressed aggression or violence. Conflicts at home may be enacted in school or kindergarten, and experiences of victimization and conflict may be brought back home, leading to aggressive behavior, e.g. in siblings or in parent interaction. At any rate, aggressive behavior is mostly used as a personal "solution" of interpersonal conflicts, and is expressive of escalating situations that seem to leave no other option of communication (Ratzke et al., 1997). As to a multi-factorial concept of the generating of aggressive and violent behavior, it is mostly important to intervene early in the socialization process of children. Not only is the personal organization of emotions subjected to early childhood (Grossmann, 2003), but interactional processes of recognition, of boundaries, of intersubjective experiencing allow the creation of subjectivity (Flechner, 2005).

Therefore, especially family, and institutional surroundings of early childhood such as kindergarten and pre-school play a significant role in promoting personal individuation that allows for a communicative mode of non-violent dealings. Any culture of non-violence has to grow out of mutual empathy and respect. Along certain guidelines that can be derived from a developmental psychology rationale, useful interventions can help in the process.

While aggressive impulses in their most neutral form of expansion and initiative are not to be eliminated but instead directed toward pro-social application, potentially destructive aggression has to be re-directed before it is realized. Initiative, exploration, and expansion belong to the individual process of growing up and thus are to be supported in a pro-social way, whereas destructive aggression has to undergo a subtle transformation into behavioral modes that are socially acceptable.

WHAT CHILDREN DON'T KNOW ABOUT

Early childhood is the most sensitive period of behavioral learning. Pro-social behavior can be learned to some extent. It is often impaired both in clinically conspicuous and in "normal" children. A culture of pro-social dealings with one another is by no means common in many families, nor does it come out of the blue. This is why, quite often (Cierpka, 2002):

- Children don't know about appropriate behavior in certain situations since they don't have an inner working model of alternative conflict solution
- They do know about appropriate behavior but haven't been supported enough in doing so
- They show emotional reactions like anger, fear or anxiety that keep them from developing appropriate behavior
- They are not able to assess aggressive behavior appropriately
- They may have physiologically based developmental deviation or retardation stemming from genetic or parental influence

ASPECTS OF VIOLENCE

Quite often, aggressive children have a dysfunctional family background (Cierpka, 1999). In these families, parents are not capable of taking enough care of their children, either physically or psychically. Sometimes there are lacks of attachment in the mother-infant-relation existing from birth on, or there are disorders of early attachment that have developed in baby's first year of age (Grossmann et al., 1989). Different sorts of psycho-pathology in parents can affect the infant's emotional development; the parent-infant-relation encompasses a multitude of interactional processes which are prone to dys-functional behavior. Intuitive parental competencies fundamentally influence the infant's emotional development and may be vulnerable (Papoušek & Cierpka, 2012). Even social status and the overall status of societal development may compromise these competencies (Djordjevic & Egloff, 2011). Dysfunctional and non-coherent educational practices in some families can puzzle and disturb children and direct their development toward dys-functional modes of behavior. The loss of societal structures may disturb families in developing consistent educational modes (Egloff, 2012).

Moreover, aggressive children have often been victims of violence themselves (Levold et al., 1993; Cierpka & Cierpka, 1997). These children have learned to react in violent ways.

Additionally, TV programs of violent contents mediate violence as a means of conflict solution. As has been recognized early, children consuming many of these programs tend to use violence in dealings with their peers more often than those who do not (Bandura, 1973).

Last but not least, educational institutions – school probably more than kindergarten – can be viewed as a place of structural violence in which children are put under requirements of discipline, accomplishment, and selection. Even while school offers chances of development to children, it infallibly has them experience boundaries (Valtin, 1995). Under these circumstances and along their personal biographic experiencing, some children may react violently.

FAUSTLOS

Socio-emotional learning aims at skills and competencies to be learned within an interactional framework. At the heart of FAUSTLOS, which is an adaptation of SECOND STEP (Beland, 1988), there are three issues to be transferred to children: getting to know empathy and the training to be empathic, learning to be capable of controlling one's impulses, and dealing with emotions of anger and rage. These issues are playfully dealt with in the Kindergarten curriculum by way of 28 continuous lessons. Each lesson contains a story that is told by the educator and is illustrated by an accompanying picture. Each lesson is structured the same way: at first, the topic of the lesson is outlined by playfully fantasizing what the lesson will bring. Moreover, hand puppets (a toy dog and a toy snail) open up getting in contact with each other, further illustrating the issue of the lesson to come. This is followed by the actual lesson in which the story is told, shown in the picture, and discussed with the group. Role-playing, or alternative exercises at the end of the lesson will make sure the transfer to everyday life of the children is initiated. Additionally, the educator is advised to return to the contents of the lesson during the following week. Ideally, one lesson per week is conducted.

LESSONS OF THE KINDERGARTEN CURRICULUM

The 28 continuous lessons follow a consecutive order that will become more complex the further the topics develop. At first, fundamental emotions are dealt with, and the focus is on empathy. After that, coping strategies for dealing with negative emotions in interaction are focused at.

I. Empathy:

- 1. What is FAUSTLOS?
- 2. Emotions (joy, grief, anger)
- 3. Emotions (surprise, fear, disgust)
- 4. Same or Other
- 5. Emotions Change
- 6. If... Then...
- 7. Not Now Maybe Later
- 8. Mishaps
- 9. What is Just?
- 10. I am Feeling...
- 11. Active Listening
- 12. I Care

II. Impulse Control:

- 1. Calming Down
- 2. What is the Problem?
- 3. What can I do?
- 4. Choosing
- 5. Will it Work?
- 6. Sharing
- 7. Taking Turns
- 8. Negotiating
- 9. Listening
- 10. Interrupting Politely

III. Dealing with Anger and Rage:

- 1. Am I Angry?
- 2. Calming Yourself Down
- 3. Dealing with Violations
- 4. Dealing with Name-Callings
- 5. Dealing with Getting Something Taken Away
- 6. Dealing with Not Getting What You Want

Parental involvement is part of the curriculum, too. By way of continuous parental meetings, parents are grown accustomed to dealing with one another in rather empathic and non-violent terms. Ideally, a new culture of intra- and interpersonal conflict solutions is developed with the parents. Specific accompanying courses and additional literature are offered, too (Cierpka, 2005).

Since especially self-regulation has been proven to be difficult in traumatized and insecurely attached children, by way of a wide variety of techniques and strategies children learn how to cope with inner impulses, by that broadening the range of possible reactions in stressful and conflict situations. Within a secure realm of learning and transfer, children learn from one another how to apply empathy, impulse control, and coping with anger and rage in everyday surroundings. No-one is excluded from the group, and stigmatization is avoided. Especially, a change of perspective through stories viewed from different personal viewpoints is supported; something which has regularly been experienced revelatory (Egloff & Cierpka, 2002). In traumatized children not only changing of perspectives is difficult, but essentially persisting stress disorders can compromise their biographies severely (Schindler, 2006).

EVALUATION

The FAUSTLOS Kindergarten curriculum has been developed and evaluated between 2001 and 2004 at the University of Heidelberg, Germany; a process evaluation (Cierpka, Egloff, Schick & Ott, 2001) was followed by a pre/post randomized control trial (RCT) study which proved the program to be effective especially as to a decrease of verbal aggression in children (Cierpka & Schick, 2006). Identifying emotions turned out to be easier for children who took part in the program than for those who did not; the same for pro-social dealings with conflicts. Generally, FAUSTLOS has been proven to have a specific anxiety-reducing effect supporting the transfer of competencies to everyday life (Schick & Cierpka, 2003b) which is highly important since effects on the level of personal emotion entail even more appropriate interpersonal, social

behavior (Cierpka, 2005). Further evaluation of the program has been conducted in elementary education, as has in secondary education from 2005 to 2007 (Schick & Ott, 2002; Schick & Cierpka, 2003a; 2004; 2010; 2013).

Since it has been well-accepted by state ministries and practitioners, FAUSTLOS has been implemented at many institutions of first and secondary education in Germany. The overall response ranging from well-received to enthusiastic due to its very special relational approach prove the program to be convincing as to early childhood learning and, with that, to the prevention of bullying and violence in general.

German-based Heidelberger Praeventionszentrum (HPZ) is the distributing agent for German-speaking countries and has also competence for research and further development of FAUSTLOS. For further information, one may contact the HPZ (www.h-p-z.de) or the authors.

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