

RELEVANCE OF POLARON/SOLITON-LIKE TRANSPORT IN CASCADE RESONANT ISOMERIC TRANSITIONS OF Q1D-MOLECULAR CHAINS

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ABSTRACT. Our recently proposed *quantum approach* to biomolecular *isomeric-conformational changes & recognition processes*, additionally *supported* by biomolecular *resonant recognition model* and quantum-chemical theory of *non-radiative resonant transitions*, is hereby *extended to cascade resonant transitions via close intermediate isomeric states* - which might be related to *polaron/soliton-like transport mechanisms in Q1D-molecular chains*, whose relevance is explored in this paper.

Keywords: *Quantum Biophysics, Quantum Bioinformatics, Biomolecular Isomeric-Conformational Changes & Recognition, Non-Radiative Cascade Resonant Transitions, Q1D Polaron/ Soliton-like Transport Mechanisms.*

INTRODUCTION

Two unresolved issues of the (semi)classically addressed problems in *molecular biophysics* are *unreasonably **long time** necessary for change of biopolymer conformations **and** long-range **directivness** of selective biomolecular recognition processes* - implying their essential **quantum nature** [1].

Our previous results [1], describing general **Quantum Decoherence** framework for biopolymer conformational changes in very selective ligand-proteins/target-receptors key/lock biomolecular recognition processes, followed by electron-conformational coupling giving rise to **dynamical modification** of the many-electron energy-state hypersurface of the cellular quantum-ensemble ligand-proteins/target-receptors biomolecular macroscopic quantum system, revealed possibility to consider cellular biomolecular recognition as a **Hopfield-like** quantum-holographic associative neural network.

The quantum nature of these processes is additionally supported by the biomolecular **Resonant Recognition Model** (RRM) findings [2] that the *same characteristic single-electron RRM frequency*, and almost *opposite phase*, characterise biomolecular ligand-proteins and target-receptors *general function*, i.e. their biomolecular *recognition/interaction* (with numerous potential *practical advantages* for protein *de novo* design with *desired functions*).

The quantum nature of biomolecular process can also be supported by quantum-chemical **Theory of Non-Radiative Resonant Transitions** in mono-molecular and bi-molecular reactions [3,4], realized through *intermediate quantum-coherent superpositions* of the externally perturbed electronic-vibrational states of the interacting biomolecules - revealing that the **allowed transitions** between isomeric states (i, f) are possible only for close states with **nonvanishing** *electronic and vibrational overlap integrals* S and S (cf. Fig. 1). This also applies to **cascade resonant transitions via close intermediate isomeric states** - which *might be related to polaron/soliton-like transport processes* through Q1D-(bio)molecular chains [5-11], that will be explored further on.

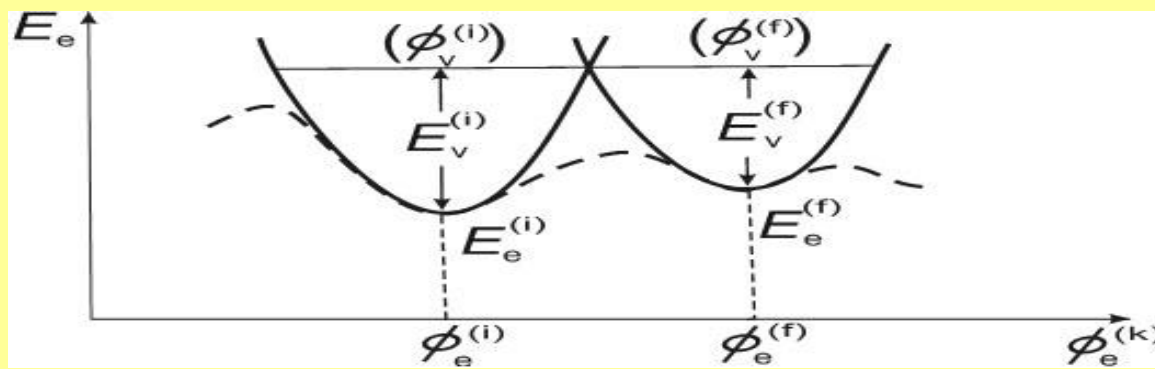


Figure 1. The (quasi)classical problem of **many-electron hypersurface** $E_e(\phi_e^{(k)})$, as a *potential energy* for adiabatically decoupled Q1D *vibrational* and *conformational* system (with local minima as semi-classical 'positions', i.e. many-atomic isomer configurations on many-electron hypersurface (*broken line* in the figure)) - not adiabatically well-defined when traversing between two adjacent local minima - is *replaced* in the **Theory of Non-radiative Resonant Transitions** by better defined problem of **two (virtually intersecting) isomeric many-electron hypersurfaces** (hyperparaboloids) serving as potential hypersurfaces for two *vibrational (isomeric) problems* (*full line* in the figure). In this approach, by **external perturbation** of the isomers, at this very intersection the conditions for **electronic-vibrational non-radiative resonant transitions** between the two isomers (i, f) are achieved: in the first approximation, the matrix element of **dipole transition** from i -th to f -th isomer is given by $\mu^{(i,f)} \approx \mu_e^{(i,f)} S_v^{(i,f)} + \mu_v^{(i,f)} S_e^{(i,f)}$, so that **allowed transitions** between isomeric states (i, f) are possible only for **close states with nonvanishing** electronic and vibrational dipole moments, $\mu_e^{(i,f)}$ and $\mu_v^{(i,f)}$, and electronic and vibrational overlap integrals, $S_v^{(i,f)}$ i $S_e^{(i,f)}$, or in **cascade resonant soliton-like transitions** (cf. Fig.2) between *close intermediate participating isomeric states*! Also, during these resonant transitions the perturbed biomolecular system is shortly described by **quantum-coherent superposition** $(\phi_e^{(i)} \phi_v^{(i)} \pm \phi_e^{(f)} \phi_v^{(f)})/\sqrt{2}$, before its **quantum decoherence** into final electronic state $\phi_e^{(f)}$ or into initial electronic state $\phi_e^{(i)}$ (with subsequent deexcitations into lower vibrational states).

RELEVANCE OF POLARON/SOLITON-LIKE TRANSPORT MECHANISMS IN CASCADE RESONANT TRANSITIONS VIA CLOSE ISOMERIC STATES IN Q1D-MOLECULAR CHAINS

Various structural transformations of Q1D-molecular chains are characterized by *local rearrangements of atoms* between neighbor unit cells, with supposed significant role of **low-frequency skeletal vibrations** and their **higher overtones**. Namely, neighbor atoms are approaching each other thus increasing probability for finding charged particles within chemical bonds, which might result in **migrations of conjugated chemical bonds** along Q1D-molecular chain as well as **proton transfer** from a carbon atom to its second neighbor, as it is the case for linear conjugated hydrocarbons.

However, a **mechanism of directive transport of charged particles** (electrons and protons) **is sought for**, as *excited double CC bond migrates gradually along conjugated chain, which passes through isomeric forms*. The mentioned explanation on atomic interactions *via* low-frequency skeletal vibrations seems to be incomplete, suggesting that the *chain is deformed in the presence of local excitation during its transport through conjugated chain*, and this very **self-trapped autolocalized excitation (polaron/soliton)** might be the sought mechanism for directive **energy and charge transport along Q1D-(bio)molecular chains**.

Theoretical basis for energy and charge transport phenomena in Q1D-molecular chains is the **Frohlich Hamiltonian**:

$$H = \Delta \sum_n \hat{a}_n^+ \hat{a}_n - J \sum_n \hat{a}_n^+ (\hat{a}_{n+1} + \hat{a}_{n-1}) + \frac{1}{\sqrt{N}} \sum_{n,q} F_q e^{iqnR_0} \hat{a}_n^+ \hat{a}_n (\hat{b}_q + \hat{b}_{-q}^+) + \sum_q \hbar \omega_q \hat{b}_q^+ \hat{b}_q ,$$

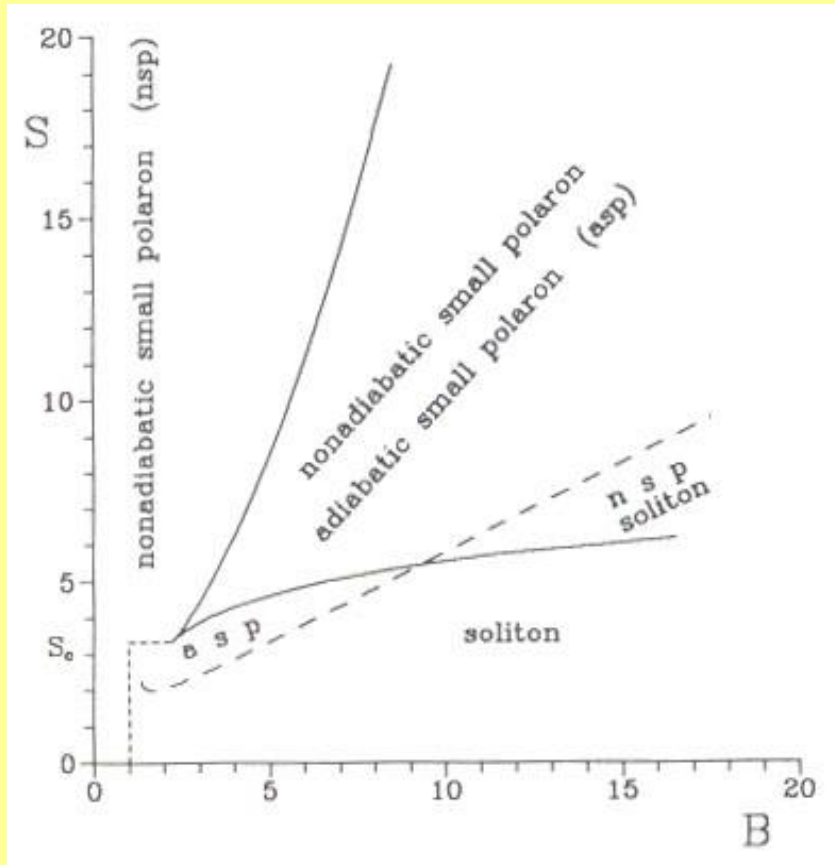
where Δ is the *molecular energy of exciton* (in general electron, vibron, hole...), \hat{a}_n^+ and \hat{a}_n are creation and annihilation *exciton operators* on the n -th molecular lattice site respectively, J is the *energy of dipole-dipole coupling* of neighbor dipoles, \hat{b}_q^+ and \hat{b}_q are creation and annihilation *phonon operators* of frequency ω_q respectively, $F_q = 2i\chi(\hbar/2M\omega_q)^{1/2} qR_0$ are *exciton-phonon coupling parameters*, while R_0 is *lattice constant*.

The above Hamiltonian in the *adiabatic region* leads to the **wave function**:

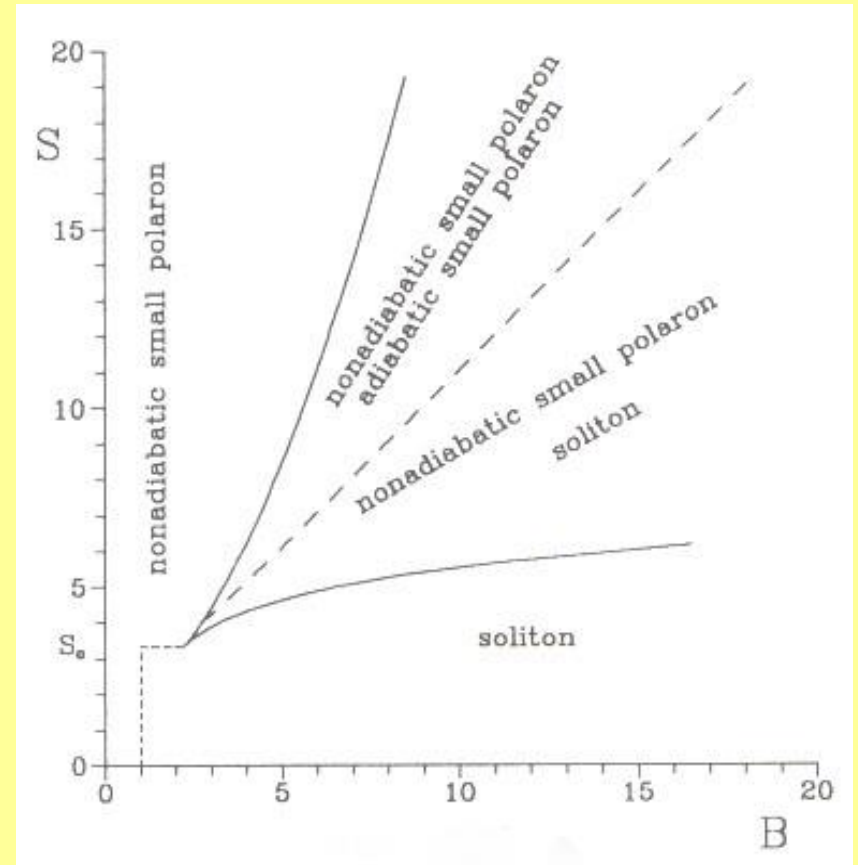
$$\phi(x,t) = \sqrt{\mu/2} \exp[i(k_s(x-x_0) - \omega_s t)] / \cosh[\mu(x-x_0 - vt)/R_0],$$

of the *exciton subsystem*: where μ represents *inverse width of the soliton*, $k_s = \hbar v / 2JR_0^2$ is the *soliton quasi-momentum*, while ω_s is a *phase factor*.

Soliton, as non-linear excitation, propagates through the polymer chain in the form of an *entity created by autolocalized exciton and lattice deformation*: $u(x,t) = u_0 \tanh[\mu(x - x_0 - vt) / R_0]$, where u_0 represents the *soliton amplitude* (width), which depends on the *exciton-phonon coupling strength* χ , the *chain elasticity constant* k , and the *soliton velocity* v . The autolocalized exciton is 'dragging' the chain deformation, with enlarged *soliton effective mass*: $m_s^* = m_{ex}^* (1 + 3\pi^2 S^2 / 2)$ (holding true for exciton coupling with longitudinal acoustic phonons). The conditions for creation of soliton are fulfilled when its energy state is *lower* than energy of the free exciton (Δ): $E_s = \Delta - E_B^2 / 3J + m_s^* v^2 / 2$. The positive **coupling constant** $S \sim E_B / \hbar\omega_B$ (being $S \gg 1$ for *adiabatic small polaron* concentrated on one site only, or $S < 1$ for *adiabatic large polaron*—soliton spread over the large number of lattice sites; where $E_B = \sum_q |F_q|^2 / N\hbar\omega_q$ is the *binding energy of small polaron*) and **adiabaticity parameter** $B \sim E_{ex} / \hbar\omega_B$ (being $B \gg 1$ in the *adiabatic limit*, when exciton energy $E_{ex} \sim 2J$ largely exceeds maximal phonon energy $\hbar\omega_B$, or covering other values in *nonadiabatic regime*) define the **parametric space of autolocalized states** which may lead, but not in all cases, to creation of solitons. The satisfactory description of **classification and existence** of autolocalized states in the parametric space of **Q1D-materials** was solved by Ivić *et al* and presented graphically in **phase diagrams** of Fig. 2.



(a)



(b)

Figure 2. The **phase diagrams** of coupling constant ($S \sim E_B / \hbar\omega_B$) vs. adiabaticity parameter ($B \sim E_{ex} / \hbar\omega_B$) in Q1D exciton-phonon system, for exciton coupling with: (a) longitudinal acoustic phonons (ADP-model), and (b) optical phonons (MCM-model).

MODEL ESTIMATIONS ON LINEAR CONJUGATED HYDROCARBONS

For *higher overtones of skeletal vibrations* $\sim 1500 \text{ cm}^{-1}$ [3] in *resonant isomer-isomer transitions* within *conjugated hydrocarbons chains*, the *maximal phonon energy* is $\hbar\omega_B \sim 0.15 \text{ eV}$. Then, taking into account that *interaction energy of accidental hopping* is proportional to the *electron (proton) band width* in double CC bond, $J \sim E_e \geq (1.5 - 2) \text{ eV}$ [11], one obtains for **adiabaticity parameter**, $B \sim E_{ex} / \hbar\omega_B \gg 1$.

Besides, for *slow polarons/solitons* the neglect of their dependence on the velocity enables the *following approximations*: $u_0 = \chi/k$, $E_B = \chi^2/k$ [10] (for *electron coupling with acoustic phonons*) i.e. $u_0 = \mu\chi/k$, $E_B = \chi^2/2M\omega_B^2$, $\mu = E_B/2J$ [10] (for *electron coupling with optical phonons*) - where χ is the *electron-phonon coupling strength*, M is the *mass of CH bond*, ω_B is *characteristic frequency of skeletal vibrations*, E_B is the *binding energy of small polaron*, and k is the *chain elasticity constant*. Then **coupling constant**, $S \sim E_B / \hbar\omega_B$, for chosen values of parameters ($\chi = 4.1 \text{ eV/\AA}$, $k = 21 \text{ eV/\AA}^2$ [11]) is $S \approx 5.33$ for *exciton coupling with acoustic phonons*, i.e. $S \gg 1$ for *exciton coupling with optical phonons*.

Then, on the basis of *phase diagrams* in parametric space of the autolocalized states (cf. Fig. 2), it can be concluded that *autolocalized states* in linear conjugated hydrocarbons have properties of **adiabatic large polarons – solitons**.

Additionally, the polaron width on the basis of the above expressions is $u_0 = 0.19 \text{ \AA}$ for ADP-model, i.e. $u_0 \approx (1.98 - 49) \text{ \AA}$ for MCM-model. So, as the length of CC bond is approximately $R_0 \sim 1.5 \text{ \AA}$, the width of large polaron is *nonrealistic* in the case of exciton coupling with acoustic phonons (ADP) - and it is reasonable to propose **exciton coupling with optical phonons** (MCM) during *isomeric transitions* in hydrocarbons conjugated chains, i.e. *optical phonons* can have major role in *skeletal hydrocarbons conjugated chain deformations*.

These estimations are in accordance with theoretical predictions of the **solitons** in **trans-polyacetylene** [11], and presence of the *anomalous line* in its infrared spectrum [12-14] as one of the most convincing evidences for their existence: on the basis of explanation of Scott *et al* [12] the *missing intensity of this infrared line* originates from the *scattering on solitons*, produced by *coupling of intramolecular excitation with in-plane C-H bending vinylene phonon mode*. As in trans-polyacetylene the width of electronic band is 0.64 eV and maximal phonon energy is 0.06 eV (and correspondingly 2.5 eV and 1.6 eV in polydiacetylene) [15] - for these values one obtains $B \geq 1$, which satisfies two basic conditions (adiabatic and continuum approximation [9]) that **electron autolocalization** can lead to creation of **adiabatic large polarons – solitons** in these extended hydrocarbon conjugated polymers [9]. Finally, on the basis of above parameters it is also possible to estimate the *soliton radius* in the range of 5 – 10 unit lengths (while effective polaron mass varies from $\sim m_0$ (electron mass) for trans-polyacetylene to $\sim 100 m_0$ for polydiacetylene).

It should be also added, that **vibron autolocalization** in extended hydrocarbon conjugated trans-polyacetylene can lead to creation of **nonadiabatic small polarons**, as predicted by Alexander and Krumhansl [16].

CONCLUSION

Quantum approach to biomolecular **isomeric-conformational changes**, previously developed in the framework of quantum-chemical theory of biomolecular **non-radiative resonant transitions** and further extended to **cascade resonant transitions** via **close intermediate isomeric states** - is hereby theoretically explored in the framework of **phase diagrams** of **polaron/soliton-like transport processes** in **Q1D-(bio)molecular chains** - of potential importance for quantum biophysics and quantum bioinformatics, nanobiology and nanotechnology.

Our *model estimations* on the *hydrocarbon conjugated chains* reveal that **autolocalization of charged particles** in them can lead to creation of **adiabatic large polarons - solitons**, while **optical phonons** of the conjugated chains can have *major role in skeletal chain deformations* during **soliton-like cascade resonant transitions** via **close intermediate isomeric states**.

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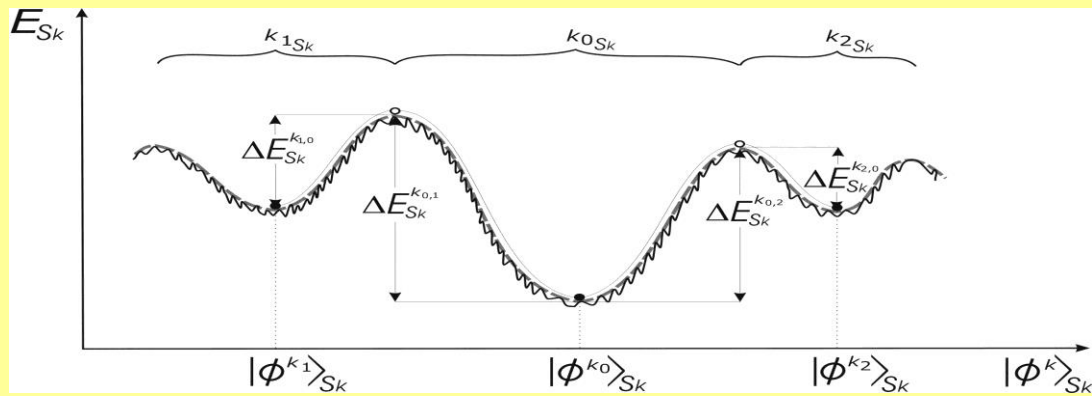


Figure 3. Schematic presentation of memory attractors in energy-state hypersurface ($E_{S_k}(\phi^{k_i})$) of **quantum-holographic memory/propagator cellular quantum-ensemble ligand-proteins/target-receptors biomolecular macroscopic open quantum system**: $G^{(k)}(r_2, t_2, r_1, t_1) =$

$$\sum_{i=0}^{P_k-1} \phi^{(k_i)}(r_2, t_2) \phi^{(k_i)}(r_1, t_1)^* = \sum_{i=0}^{P_k-1} A_{k_i}(r_2, t_2) A_{k_i}(r_1, t_1) e^{\frac{i}{\hbar}(\alpha_{k_i}(r_2, t_2) - \alpha_{k_i}(r_1, t_1))} \quad \text{– and the same holds true for}$$

higher quantum-holographic hierarchical level of **acupuncture system/consciousness!** It should be pointed out that **quantum decoherence** evidently plays **fundamental role in biological quantum-holographic neural networks**, through presented **shape adaptation of energy-state hypersurface** (in contrast to *artificial qubit quantum computers* where quantum decoherence must be *avoided* until the final read-out act of quantum computation) – which implies that Nature has chosen elegant **room-temperature solution** for **biological quantum-holographic information processing**, continuously **fluctuating between quantum-coherent**

state $|\phi^k(t)\rangle_{S_k} = \sum_i c_{k_i}(t) |\phi^{k_i}\rangle_{S_k}$ and **classically reduced state** $\hat{\rho}_{S_k}^k(t) = \sum_i |c_{k_i}(t)|^2 |\phi^{k_i}\rangle_{S_k} \langle \phi^{k_i}|$ of

acupuncture system/ consciousness, through nonstationary interactions with out-of-body far-environment and through decoherence by body near-environment. Hence, **quantum neural holography** combined with **quantum decoherence** might be very significant element of feedback **bioinformatics**, from the level of cell to the level of organism!